

FORMALITIES LETTER



OC00000005314280

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/578,900 | 05/26/2000 | John P. Carulli | 032796-019 |

21839
BURNS DOANE SWECKER & MATHIS L L P
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404



Date Mailed: 08/10/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1734.
 - \$486 for 27 total claims over 20.
 - \$1248 for 16 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2554.**

A copy of this notice MUST be returned with the reply.

Handwritten signature
Customer Service Center

Initial Patent Examination Division (703) 308-1202

10/16/2000 AGOITOM 00000039 09578900 PART 2 - COPY TO BE RETURNED WITH RESPONSE

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:205 | 65.00 OP |
| 03 FC:203 | 243.00 OP |
| 04 FC:202 | 600.00 OP |
| 05 FC:299 | 14.00 OP |

secretor
§

Patent
Attorney's Docket No. 032796-019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

John P. CARULLI et al.

Application No.: 09/578,900

Filed: May 26, 2000

For: REGULATING LIPID LEVELS VIA
THE ZMAX1 OR HBM GENE



Group Art Unit: 1643

Examiner: Unassigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.
§ 1.53(e) dated August 10, 2000, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the
surcharge of ☒ \$65.00 (205) [] \$130.00 (105) as set forth in 37 C.F.R.
§ 1.16(e);
- [] Note that the inventor(s) identified on the currently filed Combined
Declaration and Power of Attorney are different than listed on the application
filing papers.
- [] a Request for Refund;
- [] a Petition for Extension of Time;
- [] a verified English translation of the Application, and the \$130.00 (139) fee as set
forth in 37 C.F.R. § 1.17(k);
- [] an Assignment document and a separate check for the Assignment recordation fee;
- ☒ other Information Disclosure Statement and copy of Notice to File Missing Parts;
- ☒ a check in the amount of \$ 1,277.00 for the fee due for missing parts; and
- [] charge \$ _____ to Deposit Account No. 02-4800 for the fee due for
missing parts.

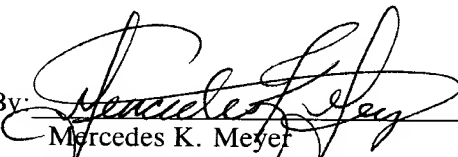
[X] Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By: 
Mercedes K. Meyer
Registration No. 44,989

Date: October 10, 2000